



Trine University
One University Avenue
Angola, In. 46703

TRINE UNIVERSITY GRANT SUBAWARD AGREEMENT

Trine University ("Pass-Through Entity (PTE)") hereby awards a working capital advance subaward to the Subrecipient, described below, subject to the following General Conditions included in the Agreement.

	Pass-Through Entity	Subrecipient
	Trine University	
UEI	JMZ5JWM7FDK4	
Principal Investigator	Jason Blume	
Award number	N00014-23-1-2661	
Federal Award Date	June 26, 2023	
Project title	Artificial Intelligence and Maritime Maneuvering – Collaborative Design and Competition with NSWC Crane	
Subaward Period of performance and budget	October 16, 2023	April 30, 2024
Federal funds obligated and committed		\$40,000
Federal awarding agency	Office of Naval Research (ONR)	
Assistance listing number	12.300 Basic and Applied Scientific Research	
Activity type	R&D	
Indirect cost rate	N/A	

GENERAL CONDITIONS:

The Subrecipient accepts and agrees to comply with the following General Conditions:

1. STATEMENT OF WORK FOR THE SUBAWARD.

The subaward shall be used solely for the purpose specified in the Artificial Intelligence Maritime Maneuver Indiana Collegiate Challenge and this Agreement. Any funds not utilized in accordance with the project, acceptance agreement or this agreement are reimbursable to the pass-through entity.

2. ACCOUNTING AND FINANCIAL REQUIREMENTS.

The use of grant funds is restricted solely to the purpose of the grant and should be so designated on subrecipient accounting and financial records.

3. ACCOUNTING RECORD REQUIREMENTS AND FINANCIAL REVIEW.

A detailed accounting of this grant is required; however, it is not necessary to maintain a separate bank account. The receipts and disbursements related to this subaward shall be retained by the subaward recipient for at least two years after finalization of the grant and provided to the pass-through entity in the final financial report. Any material changes to the budget submitted should be reviewed with the PTE's PI.

Financial reporting is due as follows:

- a) April 1, 2024: Included in the whitepaper should be a budget to actual spending report. This report should include no less than one page narrative on how the funds were spent and summarizing how the award supported the recipient in the project.
- b) May 15, 2024: A final report showing finalized spending. This report needs to show that all funds were spent and requires submission of all spending support; including but not limited to receipt, purchase orders, faculty stipend agreements, etc. Any funds not spent at this time must be returned within 30 days to the PTE.

4. PAYMENT SCHEDULE/FUNDING PERIOD

This subaward will be funded utilizing the cash advance method. Once a budget has been submitted and the award accepted. The Subrecipient will sign the subaward agreement, and the funds will be disbursed within 14 days of acceptance. Trine University does require a w-9 to process payment.

5. OTHER SUBAWARD CONDITIONS

- Matters concerning the technical performance of this Subaward shall be directed to the appropriate parties Principal Investigator.
- The PTE may issue non-substantive changes to the period of performance or budget unilaterally. Unilateral modification shall be considered valid 14 days after receipt unless otherwise indicated by Subrecipient when sent to subrecipient's administrative contact as listed in the subaward acceptance agreement.

- Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or directors, to the extent allowed by law.
- Either party may terminate this subaward within 30 days written notice. Notice shall be directed to the administrative contact and principal investigator.

6. FEDERAL SUBAWARD CONDITIONS

- Subrecipient agrees to abide by the conditions for activities and restrictions on the expenditure of federal funds in appropriations acts that are applicable to this subaward to the extent that those restrictions are pertinent.
- Subrecipient verifies it has an independent financial statement audit and audit of major federal awards annually.
- There is no carry over for this award.
- There is no cost sharing required for this award.
- Rate type – Total direct costs

By signing this subaward, including the subaward acceptance agreement previously signed, subrecipient certifies that it will perform the statement of work in accordance with the terms and conditions of this subaward and the applicable terms of the federal award. The parties further agree that they intend this subaward to comply with all applicable laws, regulations, and requirements.

OFFICIAL SIGNATURES

Authorized Official – Pass-through entity	Authorized Official - Subrecipient
Signature:	Signature:
Name:	Name:
Title:	Title:
Date:	Date: